

3872-1-05012014-1-1

FOU

# FERC FORM 1 SUPPLEMENT

## ANNUAL REPORT

OF THE

RECEIVED  
04/30/14  
ARK PUBLIC SERVICE COMM  
AUDIT SECTION

NAME Midcontinent Independent System Operator, Inc.  
(Here show in full the exact corporate, firm or individual name of the respondent)

LOCATED AT PO Box 4202, Carmel, IN 46032-4202  
(Here give the location, including street and number of the respondent's main business office within the State)

COMPANY # 3070  
(Here give the APSC-assigned company number)

TO THE

### ARKANSAS PUBLIC SERVICE COMMISSION



## COVERING ALL OPERATIONS

FOR THE YEAR ENDING DECEMBER 31, 2013

REPORT TO ARKANSAS PUBLIC SERVICE COMMISSION

In order to satisfy the filing requirements of the Arkansas Public Service Commission, these supplemental schedules will be filed annually with a copy of the Federal Energy Regulatory Commission Form 1. One complete copy will be filed with each, the Utilities Division and the Tax Division of the Arkansas Public Service Commission on or before March 31 immediately following the year being reported.

**GENERAL INSTRUCTIONS, DEFINITIONS, ETC.**

1. Two (2) copies of this report, properly filled out and verified shall be filed with the Utility Division of the Arkansas Public Service Commission, Little Rock, Arkansas, on or before the 31st day of March following the close of the calendar year for which the report is made.
2. This form of annual report is prepared in conformity with the Uniform System of Accounts for Public Utilities prescribed by the Public Service Commission and all accounting words and phrases are to be interpreted in accordance with the said classification.
3. The word "respondent" in the following inquiries means the person, firm, association or company in whose behalf the report is made.
4. Instructions should be carefully observed, and each question should be answered fully and accurately. Where the word "none" truly and completely states the fact, it should be given to any particular inquiry.
5. If any schedule does not apply to the respondent, such fact should be shown on the schedule by the words "not applicable."
6. Except in cases where they are especially authorized, cancellations, arbitrary check marks, and the like must not be used either as partial or entire answers to inquiries.
7. Reports should be made out by means which result in a permanent record. The copy in all cases shall be made out in permanent black ink or with permanent black typewriter ribbon. Entries of a contrary or opposite character (such as decreases reported in a column providing for both increases and decreases) should be shown in red ink or enclosed in parentheses.
8. This report will be scanned in. Please bind with clips only.
9. Answers to inquiries contained in the following forms must be complete. No answer will be accepted as satisfactory which attempts by reference to any paper, document, or return of previous years or other reports, other than the present report, to make the paper or document or portion thereof thus referred to a part of the answer without setting it out. Each report must be complete within itself.
10. In cases where the schedules provided in this report do not contain sufficient space or the information called for, or if it is otherwise necessary or desirable, additional statements or schedules may be inserted for the purpose of further explanation of accounts or schedules. They should be legibly made on paper of durable quality and should conform with this form in size of page and width of margin. This also applies to all special or unusual entries not provided for in this form. Where information called for herein is not given, state fully the reason for its omission.
11. Each respondent is required to send in connection with its report to this Commission's Utilities Division, one (1) copy of its latest annual report to stockholders.
12. Schedules supporting the revenue accounts and furnishing statistics should be so arranged as to effect a division in the operations as to those inside and outside the state.
13. Answers to all inquiries may be in even dollar figures, with cents omitted and with agreeing totals.
14. Each respondent should make its report in duplicate, retaining one copy for its files for reference, in case correspondence with regard to such report becomes necessary. For this reason, several copies of the accompanying forms are sent to each utility company concerned.

**ELECTRIC OPERATING REVENUES (Account 400) - ARKANSAS ONLY**

1. Report below operating revenue for each prescribed account, and manufactured gas revenues in total.  
 2. Report number of customers, columns (g) and (h), on the basis of meters, in addition to the number of flat rate accounts, except that where separate meter readings are added for billing purposes, one customer should be counted for each group of meters added. The average number of customers means the average of twelve figures at the close of each month.

3. If previous year (columns (d), (f), and (h)), are not derived from previously reported figures, explain any inconsistencies in a footnote.  
 4. Commercial and Industrial Sales, Account 442, may be classified according to the basis of classification (Small or Commercial, and Large or Industrial) regularly used by the respondent; if such basis of classification is not generally greater than 1000Kw of demand. (See Account 442 of the Uniform System of Accounts. Explain basis of classification in a footnote.)

5. Include unmetered sales. Provide details of such sales in a footnote.  
 6. This Commission requires a reporting by Kw rather than Mwh.

Line No.	Acct. No.	Title of Account (b)	OPERATING REVENUES		KILOWATT HOURS SOLD		AVG. NO. OF CUSTOMERS PER MONTH	
			Amount for Year (c)	Amount for Previous Year (d)	Amount for Year (e)	Amount for Previous Year (f)	Number for Year (g)	Number for Previous Year (h)
1		Sales of Electricity	-	-	-	-	-	-
2	440	Residential Sales	-	-	-	-	-	-
3	442	Commercial & Industrial Sales	-	-	-	-	-	-
4		Small (or Commercial) (See Instr. 4)	-	-	-	-	-	-
5		Large (or Industrial) (See Instr. 4)	-	-	-	-	-	-
6	444	Public Street & Highway Lighting	-	-	-	-	-	-
7	445	Other Sales to Public Authorities	-	-	-	-	-	-
8	446	Sales to Railroads and Railways	-	-	-	-	-	-
9	448	Interdepartmental Sales	-	-	-	-	-	-
10		TOTAL Sales to Ultimate Consumers	-	-	-	-	-	-
11	447	Sales for Resale	-	-	-	-	-	-
12		TOTAL Sales of Electricity	-	-	-	-	-	-
13	449.1	(Less) Provision for Rate Refund	-	-	-	-	-	-
14		TOTAL Rev. Net of Prov. for Refunds	-	-	-	-	-	-
15		Other Operating Revenues	-	-	-	-	-	-
16	450	Forfeited Discounts	-	-	-	-	-	-
17	451	Miscellaneous Service Revenues	-	-	-	-	-	-
18	453	Sales of Water and Water Power	-	-	-	-	-	-
19	454	Rent from Electric Property	-	-	-	-	-	-
20	455	Interdepartmental Rents	-	-	-	-	-	-
21	456	Other Electric Revenues	-	-	-	-	-	-
22			-	-	-	-	-	-
23			-	-	-	-	-	-
24			-	-	-	-	-	-
25			-	-	-	-	-	-
26			-	-	-	-	-	-
27		Total Other Operating Revenues	-	-	-	-	-	-
28		Total Electric Operating Revenues	-	-	-	-	-	-
			<b>Gross Assessable Revenues</b>					
			Line 28 Total From this Schedule					
			Add Back Line 13 Amt. (Acct. 449.1, Provision For Rate Refunds)					
			Less: Credit For Rate Refunds Paid This Reporting Year					
			Less: Sales For Resale					
			Total Gross Assessable Revenues					

UTILITY PLANT - SYSTEM	Beginning Balance	Additions	Retirements (Cr)	Adjustments	Balance at end of Year
Plant in Service (Classified)	533,459,773.00	59,050,822.00	(129,539,301.00)	31,390.00	463,002,684.00
Property Under Capital Leases	15,776,744.00	-	-	-	15,776,744.00
Plant Purchased or Sold	-	-	-	-	-
Experimental Plant Unclassified	-	-	-	-	-
Plant Leased to Others	-	-	-	-	-
Plant Held for Future Use	-	-	-	-	-
Completed Construction not Classified	-	-	-	-	-
Construction Work in Progress	47,947,090.00	32,693,705.00	(59,057,820.00)	-	21,582,975.00
Plant Acquisition Adjustments	-	-	-	-	-
Sub-Total	597,183,607.00	91,744,527.00	(188,597,121.00)	31,390.00	500,362,403.00
Other Plant Adjustments	-	-	-	-	-
Other Utility Plant (itemize)	-	-	-	-	-
Nuclear Fuel (in Process of Refinement, etc.)	-	-	-	-	-
<b>TOTAL</b>	<b>597,183,607.00</b>	<b>91,744,527.00</b>	<b>(188,597,121.00)</b>	<b>31,390.00</b>	<b>500,362,403.00</b>
UTILITY PLANT - ARKANSAS	Beginning Balance	Additions	Retirements (Cr)	Adjustments	Balance at end of Year
Plant in Service (Classified)	-	2,120,222.00	-	-	2,120,222.00
Property Under Capital Leases	-	-	-	-	-
Plant Purchased or Sold	-	-	-	-	-
Experimental Plant Unclassified	-	-	-	-	-
Plant Leased to Others	-	-	-	-	-
Plant Held for Future Use	-	-	-	-	-
Completed Construction not Classified	-	-	-	-	-
Construction Work in Progress	-	-	-	-	-
Plant Acquisition Adjustments	-	-	-	-	-
Sub-Total	-	2,120,222.00	-	-	2,120,222.00
Other Plant Adjustments	-	-	-	-	-
Other Utility Plant (itemize)	-	-	-	-	-
Nuclear Fuel (in Process of Refinement, etc.)	-	-	-	-	-
<b>TOTAL</b>	<b>-</b>	<b>2,120,222.00</b>	<b>-</b>	<b>-</b>	<b>2,120,222.00</b>